

TRI CAPITAL LIMITED

INVESTOR MONEY LAUNDERING CHECKS

In terms of the Money Laundering Regulations 1993 (as amended) (“the Regulations”), our professional advisers are obliged to verify the identity of all core and club members of TRI Capital Limited who decide to invest in any company as a “TRI Capital Investor”.

Individuals:

We require to have sight of two of the following original documents from each member, of which one should be from the list above the dotted line and one from below:

Document 1:

1. Valid, full UK or European Union (“EU”) passport; or
 2. Full UK or EU Driving Licence; or
 3. Signed known employers ID card bearing your photograph and signature.
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Document 2:

1. Gas, electricity or telephone (but not mobile) bill showing your name and current residential address and which bill is no older than 6 months; or
2. Council tax demand showing your name and current residential address and which demand is no older than 6 months; or
3. Bank, Building Society, mortgage or credit card statement showing your name and current residential address and which statement is no older than 6 months.

Companies/Trusts:

If you normally use a company vehicle or a trust to make “TRI Capital” investments then we will need to have sight of two original documents from you (as per above) in your capacity as a company director or trustee.

If you are not a company director or trustee then we will still need to have sight of two original documents from (i) yourself and (ii) from one company director or trustee.

To satisfy the Regulations please can you either:

1. Telephone Andy Purves of Andy Purves Limited, the Company Secretary of TRI Capital Limited, (Tel: 01896 820631) to arrange an appointment to meet with him at St. Dunstons House, High Street, Melrose, Roxburghshire, TD6 9RU to enable him to take a copy of your two original documents for our records; or
2. Post to Andy Purves your two original documents. After taking a copy for our records Andy will then return your two original documents to you by recorded delivery.

Please note:

In terms of the Regulations Andy Purves cannot accept copies of documents. Andy must have sight of the originals.